

COPPERLINE

WEALTH MANAGEMENT & PLANNING

CLIENT PROFILE

Forms Delivery Method <input type="checkbox"/> E-signature <input type="checkbox"/> FedEx <input type="checkbox"/> Client Meeting	E-Delivery (EMAIL REQUIRED) <input type="checkbox"/> Statements <input type="checkbox"/> Prospectus	Please provide: – Supporting documents for Trust/Entity account – Copy of statement within 90 days	Are you an Associated person? <input type="checkbox"/> Yes <input type="checkbox"/> No
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GENERAL INFORMATION

Primary Owner		Secondary Owner		
Name		Name		
Date of Birth		Date of Birth		
Social Security #		Social Security #		
Driver's License #		Driver's License #		
Issue/Expire Date		Issue/Expire Date		
Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed	Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed	
Email Address		Email Address		
Phone		Phone		
Address		City	State	Zip Code
Mailing (if different than above): P.O. Box				

EMPLOYMENT INFORMATION (If currently employed, all info is required. If retired, former industry & occupation required)*

Primary Owner		Secondary Owner	
Employer Name		Employer Name	
Employer Address		Employer Address	
City, State, Zip		City, State, Zip	
Industry		Industry	
Occupation		Occupation	

HOUSEHOLD FINANCIAL INFORMATION

A = \$0-\$25k	B = \$25-\$50K	C = \$50-\$100K	D = \$100K-\$250K	E = \$250-\$500K	F = \$500-\$750K	G = \$750K-\$1MM	H = \$1MM+
Annual Income		Net Worth		Liquid Net Worth		Tax Bracket %	

SOURCE OF INCOME

<input type="checkbox"/> Employment Income	<input type="checkbox"/> Retirement Assets	<input type="checkbox"/> Investment Income	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Gift	<input type="checkbox"/> Sale of Home
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FINANCIAL INFORMATION & EXPERIENCE

Investment Objective	<input type="checkbox"/> Income w/Capital Pres.	Investment Experience	Years	Assets/Investments Breakdown	%		%
	<input type="checkbox"/> Income w/Mod Growth	Bonds		Real Estate		Equities	
	<input type="checkbox"/> Growth w/Income	Stocks		Mutual Funds		Alt. Investments	
	<input type="checkbox"/> Growth	Annuities		Checking/Savings		Bonds	
	<input type="checkbox"/> Aggressive Growth	Mutual Funds		Annuities		Other	
	<input type="checkbox"/> Trading	Margin/Options		Insurance		Total	

INVESTMENT TIME HORIZON & LIQUIDITY NEEDS

Time Horizon	<input type="checkbox"/> 10+ Years	<input type="checkbox"/> 5-10 Years	<input type="checkbox"/> 3-5 Years	<input type="checkbox"/> 0-3 Years
Liquidity Needs	<input type="checkbox"/> Yes	If yes, <input type="checkbox"/> 3+ Years <input type="checkbox"/> 0-3 Years	<input type="checkbox"/> No	Annual Amount \$ _____

TRUSTED CONTACT

Name	
Contact Info (phone and/or email)	

PROFESSIONAL CONTACTS

Attorney	Accountant
Name	Name
Phone	Phone
Email	Email

PRIMARY BENEFICIARY INFORMATION

Name		Name		Name	
Date of Birth		Date of Birth		Date of Birth	
Relationship		Relationship		Relationship	
Share %		Share %		Share %	

CONTINGENT BENEFICIARY INFORMATION

Name		Name		Name	
Date of Birth		Date of Birth		Date of Birth	
Relationship		Relationship		Relationship	
Share %		Share %		Share %	

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Sara Schauer mann and Luke McNeely are Registered Representative with Securities offered through LPL Financial, Member FINRA/SIPC. Investment advice offered through Level Four Advisory Services LLC, a registered investment advisor. Copperline Wealth Management and Planning, and Level Four Advisory Services, LLC are separate entities from LPL Financial.